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E.O. 12065: RDS-1 9/30/01 (MURPHY, RICHARD W.) OR-M  
TAGS: MASS, MILIT, US, SA  
SUBJECT: SAUDI-US AWACS CONSULTATIONS

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1. (S-ENTIRE TEXT).

2. PURSUANT TO INSTRUCTIONS MG SECORD AND I WORKED WITH BANDAR DURING THE TRIP BACK TO THE KINGDOM AND AFTER ARRIVAL. IN THESE SESSIONS BANDAR AGREED TO SEEK FURTHER REVELATION OF ASSURANCES WHICH WERE WORKED OUT SEVERAL MONTHS AGO PLUS SOME NEW POINTS WITH REGARD TO US/SAUDI JOINT MILITARY COMMISSION. AFTER A LONG SESSION BETWEEN BANDAR AND THE CROWN PRINCE LATE EVENING OF 29 SEPT (REPORTED SEPTFL), WE WERE INFORMED THAT THE FOLLOWING LANGUAGE IS AGREED TO FOR YOUR USE WITH THE CONGRESS (EXECUTIVE OR OPEN SESSION) AS YOU DESIRE:

- ON INFORMATION SHARING (EXCHANGE OF INFORMATION)

--THE U.S. AND SAUDI ARABIAN FORCES WILL SHARE RADAR INFORMATION WHICH IS IN THE NATIONAL INTEREST OF BOTH COUNTRIES. WE WILL HAVE ACCESS TO SAUDI AWACS-GATHERED INFORMATION THAT IS USEFUL TO US AND WE WILL PROVIDE THE

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SAUDIS WITH SURVEILLANCE INFORMATION GATHERED BY U.S. PLATFORMS IN THE AREA USEFUL TO THEM.

--NO INFORMATION WILL BE MADE AVAILABLE TO THIRD PARTIES WITHOUT MUTUAL PRIOR CONSENT.  
- SECURITY PRECAUTIONS

A. THE GOVERNMENTS OF SAUDI ARABIA AND THE UNITED STATES WILL DEVELOP SPECIAL SECURITY ARRANGEMENTS THAT WILL ENSURE PROTECTION OF THE SAUDI ARABIAN AIR DEFENSE SYSTEM TO BE PURCHASED BY SAUDI ARABIA. THEY WOULD BE IN ADDITION TO UNILATERAL SAUDI ARABIAN AND JOINT U.S./SAUDI ARABIAN SECURITY ARRANGEMENTS WHICH HAVE PROVIDED SATISFACTORY PROTECTION FOR ALL U.S. MILITARY EQUIPMENT PREVIOUSLY SOLD TO SAUDI ARABIA.

B. THE FRAMEWORK FOR ALL SECURITY MEASURES -- BOTH SPECIAL AND NORMAL -- IS THE U.S./SAUDI ARABIAN GENERAL SECURITY OF MILITARY INFORMATION AGREEMENT ON THE SAFEGUARDING OF CLASSIFIED INFORMATION. IT PROVIDES THAT STRINGENT SECURITY PRECAUTIONS MUST BE OBSERVED BY BOTH THE USG AND SAG WITH RESPECT TO CLASSIFIED INFORMATION COMMUNICATED BETWEEN GOVERNMENTS. INFORMATION IS SPECIFICALLY DEFINED TO ENCOMPASS CLASSIFIED OR SENSITIVE EQUIPMENT TRANSFERRED TO SAUDI ARABIA UNDER THE FOREIGN MILITARY SALES (FMS) PROGRAM. IN PARTICULAR, THE AGREEMENT PROVIDES ACCESS TO AND PERIODIC VISITS BY U.S./SAG SECURITY EXPERTS TO DISCUSS AND INSPECT PROCEDURES, EQUIPMENT, AND FACILITIES FOR THE PROTECTION OF CLASSIFIED OR SENSITIVE INFORMATION, AND TO ENSURE THAT SUCH INFORMATION IS RECEIVING ADEQUATE PROTECTION.

C. A JOINT SAUDI ARABIAN/U.S. REVIEW OF SECURITY ARRANGEMENTS

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MENTS FOR THE U.S. AWACS DEPLOYED IN SAUDI ARABIA, THE SAUDI AWACS AND ASSOCIATED SAUDI AIR DEFENSE SYSTEM WILL BEGIN AS SOON AS THE SAG SIGNS THE LOA. THE USG AND SAG AGREE TO DEVELOP A JOINT PLAN COVERING SUCH MATTERS AS PERSONNEL SECURITY, THE SECURITY OF FACILITIES, AND PERIODIC INSPECTION RELATING EXPRESSLY TO THE F-3A PROGRAM. THIS AWACS SECURITY PLAN IS TO PROVIDE FOR, AS A MINIMUM, THE FOLLOWING OBJECTIVES WHICH ARE ROYAL SAUDI AIR FORCE RESPONSIBILITIES:

- (1) ENTRY CONTROL FOR RESTRICTED AREAS.
- (2) DETECTION OF UNAUTHORIZED INDIVIDUALS WHO ATTEMPT TO GAIN ENTRY INTO A RESTRICTED AREA.
- (3) ABILITY TO INTERCEPT AND NEUTRALIZE UNAUTHORIZED INTRUDERS.
- (4) ABILITY TO MINIMIZE DAMAGE TO OR LOSS OF THE SPECIFIC FEATURES INVOLVED.

IN ORDER TO ACCOMPLISH THESE OBJECTIVES THE USG AND SAG AGREE THAT FENCING, LIGHTING AND AN INTRUSION DETECTION SYSTEM WILL BE CONSTRUCTED AROUND THE RESTRICTED AREA. PROVISIONS FOR 24 HOURS A DAY, 7 DAYS A WEEK COVERAGE BY FULLY QUALIFIED SECURITY PERSONNEL ARE AGREED TO BE PROVIDED BY THE SAG. FURTHER, THE USG AND SAG AGREE TO: SPECIFIC PROVISIONS FOR ACCESS TO AND CONTROL OF DATA AND CLASSIFIED SPARES AND SUPPORT EQUIPMENT, IN ACCORDANCE WITH STANDARD USG SECURITY PROCEDURES; PERIODIC INSPEC-

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TIONS OF FACILITIES, TO INCLUDE AS A MINIMUM JOINT INSPEC-  
TIONS CONDUCTED ON A SEMI-ANNUAL BASIS. THE U.S. AND  
SAUDI ARABIA AGREE THAT THESE ARRANGEMENTS MUST BE IN  
EFFECT BY THE TIME OF THE ARRIVAL OF THE FIRST SAUDI E-3A  
AIRCRAFT IN SAUDI ARABIA. A JOINT U.S.-SAUDI ARABIAN  
SECURITY CONFERENCE WILL BE HELD ONE YEAR BEFORE DELIVERY  
OF THE FIRST SAUDI AWACS AIRCRAFT TO REVIEW AND APPROVE  
THE AWACS SECURITY PLAN.

D. THE U.S. RETAINS THE RIGHT UNDER THE STANDARD FORM  
FMS CONTRACT OF SALE TO CANCEL THIS SALE IN THE EVENT  
THAT ANY OF THE TERMS AND CONDITIONS OF THE LOA ARE  
VIOLATED. APPROPRIATE REFUNDS WOULD BE IN ACCORDANCE  
WITH THE ARMS EXPORT CONTROL ACT AND CONDITIONS SPECIFIED  
IN THE LOA.

E. THE USG AND SAG WILL ENSURE THAT ONLY UNITED STATES  
AND SAUDI ARABIAN NATIONALS PROPERLY CLEARED FROM A  
SECURITY PERSPECTIVE WILL BE USED TO PERFORM OPERATIONS,  
SUPPORT, AND SECURITY FUNCTIONS FOR USAF AND RSAF AWACS  
AIRCRAFT AND ASSOCIATED GROUND ENVIRONMENT SYSTEMS  
OPERATING FROM AND WITHIN THE KINGDOM. ADDITIONALLY,  
THE USG AND SAG WILL ENSURE THAT NO THIRD COUNTRY PERSONNEL  
WILL BE PERMITTED TO PERFORM MODIFICATIONS TO SAUDI AWACS  
AIRCRAFT OR AWACS-ASSOCIATED GROUND ENVIRONMENT SYSTEM

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UNLESS MUTUALLY AGREED.

2. TO INSURE THE SECURITY AND THE SURVIVABILITY OF THE AWACS, NO FLIGHTS OF SAUDI AWACS WILL BE CONDUCTED OUTSIDE OF THE PHYSICAL BORDER OF THE KINGDOM EXCEPT BY PRIOR AND MUTUAL CONSENT OF BOTH THE USG AND THE SAG.  
- THE JMC

THE U.S. SECRETARY OF DEFENSE AND THE SAUDI MINISTER OF DEFENSE AND AVIATION HAVE HELD DISCUSSIONS FOR OVER THE PAST YEAR WITH A VIEW TO ENHANCING OUR MILITARY COOPERATION RELATIONSHIP. WE HAVE NOW AGREED TO ESTABLISH A JOINT MILITARY COMMISSION AND ANTICIPATE AN ANNOUNCEMENT TO THIS EFFECT IN 1981. THE RESPONSIBILITIES OF THIS COMMISSION WILL INCLUDE DEVELOPING PROCEDURES TO IMPLEMENT THE FOREGOING UNDERSTANDING CONCERNING THE AIR DEFENSE ENHANCEMENT PACKAGE AND STUDY OF OTHER MATTERS WHICH WILL ALSO ENHANCE REGIONAL SECURITY. THE U.S./SAUDI ARABIAN STAFF WILL HAVE BOTH PROBLEM-SOLVING AND ADVISORY FUNCTIONS. THE ACTION PROPOSALS THEY DEVELOP WILL BE REVIEWED BY THE MINISTERS AT THEIR ANNUAL MEETING.

3. THE SAUDIS ALSO UNDERSTAND THAT OUR OFFICIALS MAY MAKE THE FOLLOWING CASE BEFORE THE CONGRESS:

- IN DESCRIBING THE U.S. ROLE IN THE PLANNED EVOLUTION OF THE SAUDI AIR DEFENSE SYSTEM, THREE DISTINCT PHASES CAN BE SEEN; PHASE I (PRESENT THROUGH 1985) IS CHARACTERIZED BY U.S. COMMAND AND CONTROL; PHASE II (1986 UNTIL ABOUT 1990) IS IN EFFECT A PERIOD OF JOINT U.S./SAUDI COMMAND AND CONTROL BY VIRTUE OF THE FACT THAT THE SAUDIS WILL NOT HAVE SUFFICIENT TECHNICIANS OR AWACS TRAINED AIRCREWS AVAILABLE FOR OPERATING ALL FIVE AWACS AIRCRAFT;

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PHASE VII IS FROM 1990 ONWARD AND CAN BE CHARACTERIZED AS SAUDI CONTROLLED WITH U.S. PARTICIPATION. IN THIS REGARD, ONE SHOULD POINT OUT THAT CONTINUING USAF TECHNICAL ASSISTANCE FIELD TEAM PARTICIPATION WILL UNDOUBTEDLY BE REQUIRED AFTER 1990 DUE TO SAUDI REPLACEMENT TRAINING AND OPERATIONAL DEMANDS FOR LIMITED SAUDI AIRCREW RESOURCES. THIS IS ALL IN ADDITION TO THE LARGE NUMBER OF U.S. CONTRACTOR TECHNICAL PERSONNEL WHICH WILL BE REQUIRED FOR THE LIFE OF THE AWACS SYSTEM IN SAUDI ARABIA. MURPHY

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